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Docket No. NEC01P175-JNb

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re patent application of:

Takefumi OGUMA

Serial No.: 09/963,531

Group Art Unit: 2613

Filing Date: September 27, 2001

Examiner: Dalzid E. Singh

For: OUTPUT MONITOR/CONTROL APPARATUS AND OPTICAL  
COMMUNICATION SYSTEM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SECOND REQUEST FOR CORRECTED SUBMISSION OF INFORMATION**  
**DISCLOSURE STATEMENT AND CONSIDERATION OF INFORMATION**  
**DISCLOSURE STATEMENT, AND STATEMENT OF SUBSTANCE OF**  
**INTERVIEW**

Sir:

Applicant respectfully requests submits that on August 18, 2003 an Information Disclosure Statement (IDS) was filed (a copy of the IDS, corresponding form PTO-1449 and date-stamped attorney's post card filing receipt are included herewith for the Examiner's convenience). However, the IDS has not yet been acknowledged by the Examiner, nor has the IDS been placed on record in the present application.

On November 1, 2005, United States Patent Office Examiner Edith Chang contacted Applicant's representative to check the status of United States Patent Application Serial No. 09/963,351 (which is not being handled by Applicant's representative).

After further reviewing the prosecution history of Application Serial No. 09/963,351, Examiner Chang indicated that Applicant's IDS filed on August 18, 2003 (in the present application) was erroneously entered into the prosecution history of Application Serial No. 09/963,351.

Applicant respectfully requests that the IDS filed on August 18, 2003 be correctly entered into the prosecution history of United States Patent Application Serial No.

Application No. 09/963,531  
Docket No. NEC01P175-JNb

**09/963,531.** Furthermore, Applicant respectfully requests the Examiner to consider the IDS filed August 18, 2003.

Applicant again points out that this submission is merely a request for corrected submission and consideration of the IDS filed on August 18, 2003. This submission is not an Information Disclosure Statement.

Since this error is due to a mistake by the United States Patent and Trademark Office, no fee is included herewith.

The Commissioner is hereby authorized to charge and deficiency in fees or to credit any overpayment to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,

  
Scott M. Tulino  
Registration No. 48,317  
Sean M. McGinn  
Registration No. 34,386

Date: July 11, 2006

**MCGINN INTELLECTUAL PROPERTY  
LAW GROUP, PLLC**  
8321 Old Courthouse Road, Suite 200  
Vienna, Virginia 22182-3817  
(703) 761-4100  
**Customer No. 21254**

JAK-04

Small Business

Attorney's Post Card Filing Receipt

Serial Number: 201963,531

Papers Filed On: 9/15/01



Attorney's Docket Number: DE-DP1234567890  Patent  Trademark

Applicant's Name: Egawa  Papers Filed Herewith:

Application Filing Date: 9/27/01

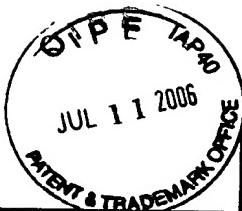
- Amendment  Request for Extension of Time  CPA Request  
 Notice of Appeal  Appeal Brief (in triplicate)  Reply Brief  
 IDS  1449 Form w/  Documents  Priority Document(s)  
 Assignment  Recordation Cover Sheet  Formal Drawings  
 Drawing Corrections  Issue Fee Transmittal  Missing Parts  
 Other Japanese Translation 7-8-03 English

Fees Filed Herewith: \$  Check  Charge Deposit Account: + translation

Hand Delivered

BEST AVAILABLE COPY

(use several sheets if necessary)



Takefumi Oguma

**FILING DATE**

September 27, 2001

**GROUP**

2661

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	5-267760	10/15/93	JAPAN			AB5	
	2000-236135	08/29/00	JAPAN			AB5	
	2001-7438	01/12/01	JAPAN			AB5	
	2002-43687	02/08/02	JAPAN			AB5	
	2000-232248	08/22/00	JAPAN			AB5	

**OTHER DOCUMENTS** (*Including Author, Title, Date, Pertinent Pages, Etc.*)

Japanese Office Action dated July 8, 2003, with partial English translation.

Page 1 of 1

**EXAMINER**

**DATE CONSIDERED**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*(Use several sheets if necessary)*

Takefumi Oguma

**FILING DATE**

September 27, 2001

#### **T GROUP**

2661

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	2001-24274	01/26/00	JAPAN			AB5	

**OTHER DOCUMENTS** (*Including Author, Title, Date, Pertinent Pages, Etc.*)

**EXAMINER**

**DATE CONSIDERED**

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